

## Note

- Homework #2
  - On Lecture 4-5, Due in one week
  - Accessible in *Canvas*'s "Assignment" section, click the link
  - Save your hand-written or typed answers into a single PDF file, combining all pages. Use any PDF scan app or multifunction printers.
  - Submit the PDF file to "Submission for HW #2" link by 3/4 4pm. **No late submission. Strictly applied.**
- Fast Prototyping Exercise starts today
  - First session with prep slides.
  - You must have read the reference paper:  
<https://bidal.sfsu.edu/~kazokada/csc872/PD1.pdf>

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# Knowledge-Based Agents with First-Order Predicate Logic

CSC 872

Pattern Analysis and Machine Intelligence

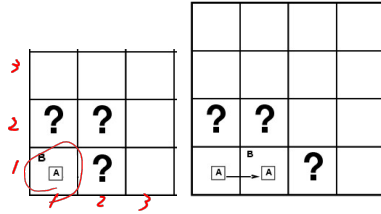
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# Review

- Limitation of *Propositional Logic*



$$B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$$

$$B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})$$

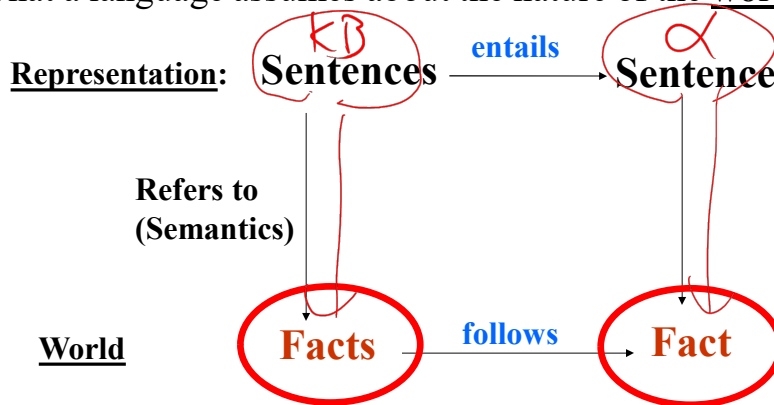
You need 16 rules  
Imagine very big grids

- Even for a simple problem like the Wumpus world, KB gets quickly very large.
- This is because *Propositional Logic* only represents **facts** in the world

# Propositional Logic Revisited

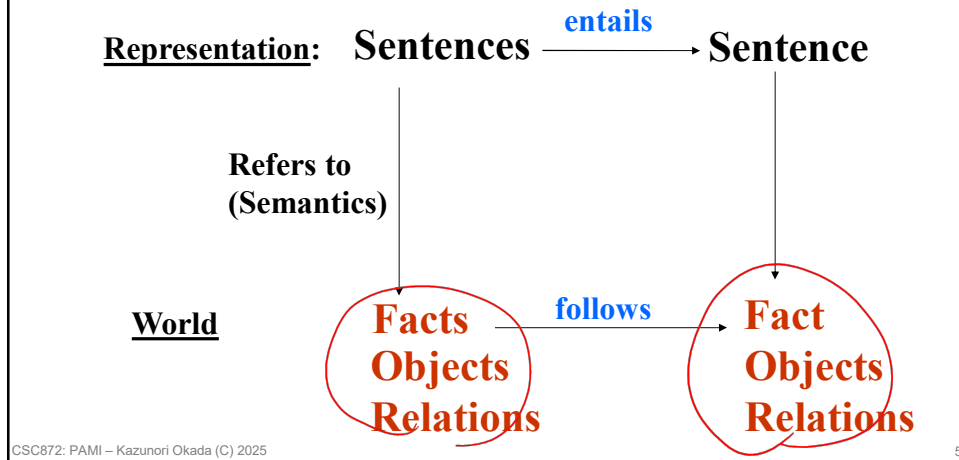
**Ontological commitments:**

what a language assumes about the nature of the **world**.



# First Order Logic?

What is the ontological commitments for the FOL?



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# First Order Logic (FOL)

- First-order logic (like natural language) assumes the world contains:
  - **Objects:**
    - car, wheel, door, body, engine, seat, passenger, driver, Parth, Ishika
  - **Relations:**
    - **Properties (unary):** Red(car), Healthy(body), IsOn(engine)
    - **N-ary relations:** Inside(car, Leela), Bigger(car, Ananyaa)
  - **Functions:**
    - ColorOf(car), MakeOf(car), SizeOf(engine)
- **Function** returns an object.
- **Relation** returns a truth value.

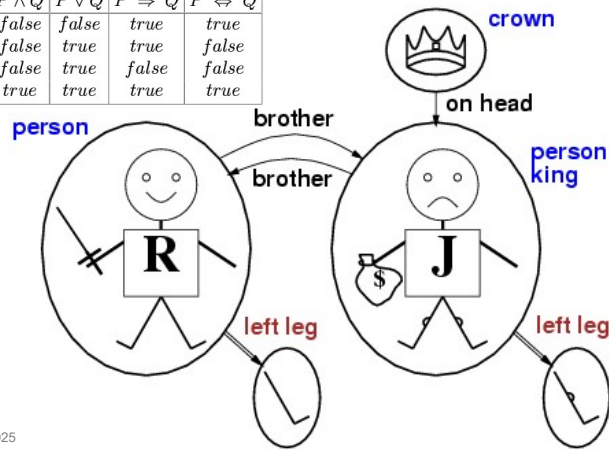
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# Models for FOL

- Propositional: sets of truth values for all prop. symbols
- FOL: same above + objects & relations!!!

$P$	$Q$	$\neg P$	$P \wedge Q$	$P \vee Q$	$P \Rightarrow Q$	$P \Leftrightarrow Q$
false	false	true	false	false	true	true
false	true	true	false	true	true	false
true	false	false	false	true	false	false
true	true	false	true	true	true	true



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# Syntax: Basic Elements

## Example Symbols

- Constants: King, 2, Wumpus,...
- Predicates: Brother, >, HasBreeze,...
- Functions: LeftLegOf, Sqrt,...
- Variables: x, y, a, b,...
- Connectives:  $\neg$ ,  $\Rightarrow$ ,  $\wedge$ ,  $\vee$ ,  $\Leftrightarrow$
- Equality: =
- Quantifiers:  $\forall$ ,  $\exists$

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## Syntax: Terms *FOL*

- **Term**: logical expression referring to an object

**Term** → **Function(Term, ...)**  
*or* | **Constant**  
| **Variable**

- Examples:
  - TeacherOf(*Abdoulfatah*), StudentOf(*Kaz*)
  - *Kaz, Harsh, Juve, Sai, Dhvanil, Matthew, Duy...*
  - *x*, referring to {*Sai, Adrian,...*} all students in csc872}

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## Syntax: Atomic Sentences

- **Atomic sentence**: a sentence that states a fact (indicating a proposition)

**AtomicSentence** → **Predicate(Term, ...)**  
| **Term1 = Term2**

- Examples:
  - Classmate(*Alexander, Zoe*),
  - TeacherOf(*Kenji*) = TeacherOf(*Mohammad*) (= *Kaz*)

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## Syntax: Complex Sentences

Sentence  $\rightarrow$  AtomicSentence  $\vee \wedge \oplus \rightarrow \leftrightarrow$   
| (Sentence Connective Sentence)  
| Quantifier Variable, ... Sentence  
|  $\neg$  Sentence

- Examples:

- $((S1 \wedge S2) \vee S3), ((S1 \vee S3) \Rightarrow S2), (S1 \Leftrightarrow S3)$
- $\text{Student}(\text{Kaz}, \text{Anurag}) \Rightarrow \text{Teacher}(\text{Anurag}, \text{Kaz})$
- $\forall x \text{ Smart}(x, \text{CSC872})$
- $\neg \forall x \text{ Perfect}(x, \text{CSC872})$

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## Syntax: Predicate & Function

- Function := Term
  - Returns an object
- Predicate := Sentence
  - Either true or false
- Correspondence between them
  - Function:  $\text{friend\_of}(\text{Divya}) = \text{Gabrielle}$
  - Predicate:  $\text{friend\_of}(\text{Diviya}, \text{Gabrielle})$

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## Semantics: Models & Interpretations

- **Model + Interpretation** → truth of sentences
- **Model** contains  
(objects=domain elements, relations)
- **Interpretation** specifies referents for symbols
  - constant symbols → objects
  - predicate symbols → relations
  - function symbols → functional relations

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## Semantics: Truth Assignments

- **$predicate(term_1, \dots, term_n)$  is true**  
*iff* the objects referred to by  **$term_1, \dots, term_n$**   
are in the relation referred to by  **$predicate$**
- **$term_1 = term_2$  is true** under an interpretation  
*iff*  **$term_1$**  and  **$term_2$**  refer to the same object
- For complex sentences: Use the same rules  
for propositional logic

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## Quantifiers

- Goal: expressing sentences about **collections** of objects without enumeration
- Aim: avoid naming every constants (propositional logic must do this: e.g. Wumpus)
- **Variable: x,y,a,b**
- **Universal quantification (for all):  $\forall$** 
  - e.g., All students in CS872 are smart  $\forall x \text{ Student}(x, \text{CS872})$
- **Existential quantification (there exists):  $\exists$** 
  - e.g., Someone in the class is sleeping  $\exists x \text{ Sleeping}(x, \text{CS872})$

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## Universal Quantification (for all): $\forall$

**Syntax:**  $\forall$  <variables> <sentence>

- English: “All students in CS872 are smart”:
- FOL:  $\forall x \text{ In872}(x) \Rightarrow \text{Smart}(x)$
- $\forall x$  corresponds to the **conjunction** of all instantiations of **x**

$\text{In872}(\text{Andre})$	$\Rightarrow \text{Smart}(\text{Andre})$
$\wedge \text{In872}(\text{Banaz})$	$\Rightarrow \text{Smart}(\text{Banaz})$
$\wedge \dots$	
$\wedge \text{In872}(\text{Atharva})$	$\Rightarrow \text{Smart}(\text{Atharva})$

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## Universal Quantification (*for all*): $\forall$

- $\Rightarrow$  is a natural connective to use with  $\forall$
- *Common mistake: to use  $\wedge$  together with  $\forall$* 
  - e.g:  $\forall x \text{ In}(\text{CS872}, x) \wedge \text{Smart}(x)$
  - means “*every one is in CS872 and everyone is smart*”

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## Existential quantification (*there exists*): $\exists$

**Syntax**  $\exists$  *<variables>* *<sentence>*

- English: “*Someone in the class is sleeping*”:
- FOL:  $\exists x \text{ In}(\text{CS872}, x) \wedge \text{Sleeping}(x)$
- $\exists \mathbf{x}$  corresponds to the **disjunction** of all instantiations of  $\mathbf{x}$

$$\begin{aligned} & (\text{In}(\text{CS872}, \text{Brandon}) \wedge \text{Sleeping}(\text{Brandon})) \\ \vee & (\text{In}(\text{CS872}, \text{Veronica}) \wedge \text{Sleeping}(\text{Veronica})) \\ \vee & \dots \\ \vee & (\text{In}(\text{CS872}, \text{Mohanad}) \wedge \text{Sleeping}(\text{Mohanad})) \end{aligned}$$

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## Existential quantification (*there exists*): $\exists$

- $\wedge$  is a natural connective to use with  $\exists$
- *Common mistake*: to use  $\Rightarrow$  together with  $\exists$ 
  - e.g:  $\exists x \text{ In}(\text{CS872}, x) \Rightarrow \text{Sleeping}(x)$
  - True even for someone not in CS872!
  - (False  $\Rightarrow$  True/False) are Valid!

A	B	A $\Rightarrow$ B
T	T	T
T	F	F
F	T	T
F	F	T

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## Properties of quantifiers

$\forall x \forall y$  is the same as  $\forall y \forall x$  (why??)

$\exists x \exists y$  is the same as  $\exists y \exists x$  (why??)

$\exists x \forall y$  is not the same as  $\forall y \exists x$

$\exists x \forall y \text{ Loves}(x, y)$

“There is a person who loves everyone in the world”

$\forall y \exists x \text{ Loves}(x, y)$

“Everyone in the world is loved by at least one person”

Quantifier duality: each can be expressed using the other

$\forall x \text{ Likes}(x, \text{IceCream}) \iff \neg \exists x \neg \text{Likes}(x, \text{IceCream})$

$\exists x \text{ Likes}(x, \text{Broccoli}) \iff \neg \forall x \neg \text{Likes}(x, \text{Broccoli})$

$\neg \forall x P(x) \iff \exists x \neg P(x)$

$\neg \exists x P(x) \iff \forall x \neg P(x)$

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## Translating FOL to English

The kinship domain:  $\neg \wedge \vee \rightarrow \leftrightarrow$

- $\forall x,y \text{ Brother}(x,y) \Rightarrow \text{Sibling}(x,y)$   
– Brothers are siblings
- $\forall m,c \text{ Mother}(c) = m \overset{ip}{\Rightarrow} (\text{Female}(m) \wedge \text{Parent}(m,c))$   
– One's mother is one's female parent
- $\forall x,y \text{ Sibling}(x,y) \overset{iff}{\Leftrightarrow} \text{Sibling}(y,x)$   
– “Sibling” is a symmetric relationship

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## Translating English to FOL

- Every gardener likes the sun.  
 $\forall x \text{ gardener}(x) \Rightarrow \text{likes}(x, \text{Sun})$
- You can fool some of the people all of the time.  
 $\exists x \forall t (\text{person}(x) \wedge \text{time}(t)) \Rightarrow \text{can-fool}(x,t)$
- You can fool everyone some of the time.  
 $\forall x \exists t (\text{person}(x) \wedge \text{time}(t)) \Rightarrow \text{can-fool}(x,t)$
- All purple mushrooms are poisonous.  
 $\forall x (\text{mushroom}(x) \wedge \text{purple}(x)) \Rightarrow \text{poisonous}(x)$
- No purple mushroom is poisonous.  
 $\neg(\exists x \text{ mushroom}(x) \wedge \text{purple}(x) \wedge \text{poisonous}(x))$   
 $(\forall x) \neg(\text{mushroom}(x) \wedge \text{purple}(x)) \vee \neg \text{poisonous}(x)$   
 $(\forall x) (\text{mushroom}(x) \wedge \text{purple}(x)) \Rightarrow \neg \text{poisonous}(x)$   
All purple mushroom is not poisonous

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## STOP: Higher-Order Logic

- First-order logic **allows quantification over objects** (= the first-order entities that exist in the world).
- Higher-order logic also **allows quantification over relations and functions**.

e.g., “two objects are equal *iff* all properties applied to them are equivalent”:

$$\forall x,y \quad (x=y) \Leftrightarrow (\forall p, p(x) \Leftrightarrow p(y))$$

- Higher-order logics are more expressive than first-order; however, so far we have little understanding on how to effectively reason with sentences in higher-order logic (**no sound and complete inference procedure known**)

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## Using FOL in KB Agent

- Ground Terms: a term without variables ↖ Free (terms)  
↙ Constant
- Substitution/Binding List: {variable/GTerm}
  - Given a sentence S e.g., Faster(x,y)
  - Given a **substitution**  $\sigma$  e.g., {x/Car},{y/Turtle}
  - Plugging  $\sigma$  to S: **S $\sigma$**  e.g., Faster(Car, Turtle)
- Knowledge-Based Agent
  - ASK(KB,S)
  - **KB Agent answers if KB entails S with any  $\sigma$ ; and also return some/all  $\sigma$  such that  $KB \models S\sigma$**
- Example
  - TELL(KB, Percept([Smell,Breeze,...], t=5))
  - ASK(KB,  $\exists a$  Action(a,t=5))
  - Answers: Yes (True) {a/Shoot} {a/move up}

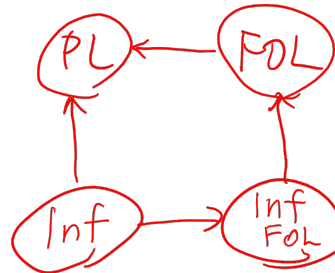
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# Inference for FOL

- Propositionalization
  - Translate KB in FOL into one in PL then apply propositional inference
- Lifting
  - Generalized Modus Ponens
  - Unification
  - Forward chaining
  - Backward chaining
  - Resolution



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# Universal Instantiation: UI

- A new inference rule for FOL for eliminating  $\forall$  for any sentence  $\alpha$ , variable  $x$  and ground term  $\tau$ ,

$$\frac{\forall x \alpha(x)}{\alpha\{x/\tau\}} \leftrightarrow \frac{\alpha(x_1) \wedge \alpha(x_2) \wedge \dots \wedge \alpha(x_n)}{\alpha\{x/k\}, k \in U}$$

- e.g.,
 
$$\frac{\forall x \text{King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)}{\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John}) \quad \{x/\text{John}\}}$$

$$\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard}) \quad \{x/\text{Richard}\}$$

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## Existential Instantiation: EI

- A new inference rule for FOL for eliminating  $\exists$  for any sentence  $\alpha$ , variable  $x$  and constant symbol  $k$  **not** in KB,  $x_i \in U$

$$\frac{\exists x \alpha(x) \Leftrightarrow \alpha(x_1) \vee \alpha(x_2) \vee \dots \vee \alpha(x_n)}{\alpha\{x/k\}} \quad \alpha\{x/k\} \quad k \notin U$$

- e.g., 
$$\frac{\exists x \text{Crown}(x) \wedge \text{OnHead}(x, \text{John})}{\text{Crown}(C_1) \wedge \text{OnHead}(C_1, \text{John})} \quad \underline{\underline{C_1 \notin U}}$$
- $C_1$  Skolem constant

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## Propositionalization

- Every KB in FOL can be propositionalized so as to preserve entailment

- Reducing KB in FOL to the new one in PL using UI and EI rules
- Perform propositional inference on the new KB

- Herbrand (1930) If a sentence  $\alpha$  is entailed by an FOL KB, it is entailed by a **finite** subset of the propositionalized KB  $\text{Func}(\text{Func}(\text{Func}(\text{Term})))$

$\text{Term} \rightarrow \text{constant}$   
 $\quad \quad \quad \text{variable}$   
 $\quad \quad \quad \text{Func}(\text{Term}, \dots)$

- Idea: For  $n = 0$  to  $\infty$  do
  - create a propositional KB by instantiating with depth- $n$  terms
  - see if  $\alpha$  is entailed by this KB

$\text{Finite } \alpha( )$

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# Problems of Propositionalization

- Infinitely many ground terms
  - e.g.,  $Father(Father(Father(John)))$
- Generate lots of irrelevant sentences
- The approach based on **Herbrand's theorem** works only for entailed sentences
- **Turing-Church Theorem (1936):**
  - Entailment for FOL is **semidecidable**
  - algorithms exist that say yes to every entailed sentence, but no algorithm exists that also says no to every nonentailed sentence.)

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# Lifting

- Raising an inference rule from propositional to first-order logic and apply them directly to FOL KB
- Generalized Modus Ponens (GMP)
 

$$\frac{P \quad P \rightarrow Q}{Q}$$

$$\frac{p'_1, p'_2, \dots, p'_n \quad (p_1 \wedge p_2 \wedge \dots \wedge p_n \Rightarrow q)}{q\theta}$$

where  $p'_i \theta = p_i \theta$  for all  $i$

$$\frac{King(John), Greedy(y), (King(x) \wedge Greedy(x) \Rightarrow Evil(x))}{Evil(John)}$$

with  $\theta = \{x/John\}$   
 $\{y/John\}$
- GMP used with KB of **definite clauses** (Horn clause with **exactly** one positive literal)
- All variables assumed universally quantified

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# Unification

- $\text{Unify}(\alpha, \beta) = \theta$  if  $\alpha\theta = \beta\theta$
- $\theta$  is called unifier

$\alpha$	$\beta$	$\theta$
$\text{Knows}(\text{John}, x)$	$\text{Knows}(\text{John}, \text{Jane})$	$\{x/\text{Jane}\}$
$\text{Knows}(\text{John}, x)$	$\text{Knows}(y, \text{OJ})$	$\{x/\text{OJ}, y/\text{John}\}$
$\text{Knows}(\text{John}, x)$	$\text{Knows}(y, \text{Mother}(y))$	$\{x/\text{Mother}(\text{John}), y/\text{John}\}$
$\text{Knows}(\text{John}, x)$	$\text{Knows}(x, \text{OJ})$	$\{\text{fail}\}$
	<i>Knows(z, OJ)</i>	<i>{x/OJ, z/Jane}</i>

- **Standardizing apart**
  - Changing  $x$  in  $\text{Knows}(x, \text{OJ})$  to  $z$  that does not clash
- **Most General Unifier**
  - Unique unifier that is most general when more than one unifier possible

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# Forward & Backward Chaining

- Transform KB to Definite Clauses
- Apply Generalized Modus Ponens
  - From premises: Forward Chaining
  - From conclusion: Backward Chaining
- Sound and Complete for FOL in definite clauses
- Forward Chaining
  - Basis for Deductive Databases (e.g., expert systems)
- Backward Chaining
  - Basis for Logical Programming (e.g., Prolog)

*IBM Watson  
→ Jeopardy*

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# Resolution

- Forward & backward chaining are NOT complete in general FOL
- Complete theorem (Gödel, 1930)
  - any sentence entailed by a set of sentences can be proven from that set.
  - shows that it is possible to find **sound** and **complete** inference rules.
- Resolution (Robinson, 1965)
  - Proof by contradiction:  $(KB \wedge \neg \alpha)$  is unsatisfiable  $\rightarrow KB \models \alpha$
  - Conjunctive Normal Form
  - Apply the resolution inference rule sequentially until finding unsatisfiable sentence (empty clause).

# Lifted Resolution Rule

$$\frac{\alpha \vee \beta, \neg \beta \vee \gamma}{\alpha \vee \gamma}$$

$$\frac{p_1 \vee \dots \vee p_{j-1} \vee p_{j+1} \dots \vee p_m, \quad q_1 \vee \dots \vee q_{k-1} \vee q_{k+1} \dots \vee q_n}{(p_1 \vee \dots \vee p_{j-1} \vee p_{j+1} \dots \vee p_m \vee q_1 \dots \vee q_{k-1} \vee q_{k+1} \dots \vee q_n)\sigma}$$

where  $p_j\sigma = \neg q_k\sigma$       *Validity  $(p_j, \neg q_k) = \sigma$*

For example,

$$\frac{\neg Rich(x) \vee Unhappy(x), \quad Rich(Me)}{Unhappy(Me)}$$

with  $\sigma = \{x/Me\}$

# CNF Conversion for FOL

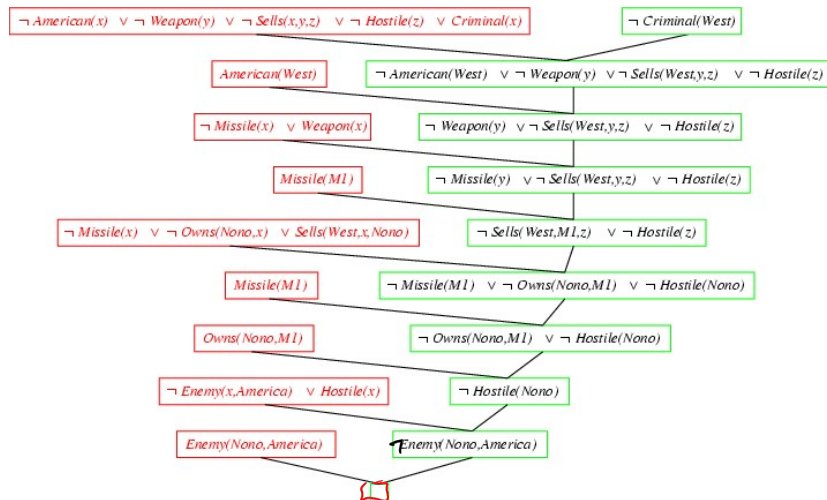
$$(\exists \vee \forall \neg) \wedge (\forall \vee \neg) \wedge (\exists \vee \forall \neg) \wedge \dots$$

Any FOL KB can be converted to CNF as follows:

1. Replace  $P \Rightarrow Q$  by  $\neg P \vee Q$
2. Move  $\neg$  inwards, e.g.,  $\neg \forall x P$  becomes  $\exists x \neg P$
3. Standardize variables apart, e.g.,  $\forall x P \vee \exists x Q$  becomes  $\forall x P \vee \exists y Q$
4. Move quantifiers left in order, e.g.,  $\forall x P \vee \exists x Q$  becomes  $\forall x \exists y P \vee Q$
5. Eliminate  $\exists$  by Skolemization (next slide)
6. Drop universal quantifiers
7. Distribute  $\wedge$  over  $\vee$ , e.g.,  $(P \wedge Q) \vee R$  becomes  $(P \vee Q) \wedge (P \vee R)$

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# Resolution Example



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## More Complex Applications

- Ontological Engineering (Ch.10)
  - Objects, Categories, Inheritance, Taxonomic hierarchy
- Situation Calculus (Ch.10)
  - Conventions for describing actions and changes
- Planning (Ch.11)
  - Deriving a sequence of actions that will achieve a goal
  - Can formulate planning as inference on a situation calculus KB

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## Logic/Knowledge-Based Agents?

- You are a self-driving agent
  - You are now at an intersection
  - You check the traffic signal
  - Signal turns to green
  - You move forward
  - **A car suddenly run over and clash you and you die.**
  - What went wrong as an agent-based picture???
- We did it right according to what we have learned.*
- Multi-agent (different agents different models)
  - Stochastic/Dynamic environment
  - Probability Theory (Facts + Uncertainty)

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## Summary

- FOL is more powerful
  - Objects + Relations as Ontological Commitments
  - Quantifiers introduced
- Sound and Complete Inference available
  - Propositionalization
  - Forward & backward chaining
  - Resolution
- Next
  - Ontological Commitment: Facts + Uncertainty?
  - Probability Theory
  - Bayesian Framework
- Fast Prototyping Exercise #1: 1<sup>st</sup>-session after the break.

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